

Corneal ectasia following photorefractive keratectomy: a confocal microscopic case report and literature review

Ectasia corneana após ceratectomia fotorrefrativa: relato de caso de microscopia confocal e revisão da literatura

Azam Alvani¹, Hassan Hashemi² , Mohammad Pakravan³, Mohammad Reza Aghamirsalim⁴

1. Noor Ophthalmology Research Center, Noor Eye Hospital, Tehran, Iran.

2. Noor Research Center for Ophthalmic Epidemiology, Noor Eye Hospital, Tehran, Iran.

3. Ophthalmic Epidemiology Research Center, Shahid Beheshti University of Medical Sciences, Tehran, Iran.

4. Translational Ophthalmology Research Center, Tehran University of Medical Sciences, Tehran, Iran.

ABSTRACT | The occurrence of corneal ectasia after photorefractive keratectomy is a rare but serious complication of refractive surgery. Possible risk factors are not well assessed, but a probable reason is the failure to detect keratoconus preoperatively. In this report, we describe a case of corneal ectasia after photorefractive keratectomy in a patient who presented a suspicious tomography pattern preoperatively but had no degenerative alterations associated with pathologic keratoconus, as revealed by in vivo corneal confocal microscopy. We also review eligible case reports of post-photorefractive keratectomy ectasia to find similar characteristics.

Keywords: Cornea/pathology; Dilatation, pathologic; Keratoconus; Photorefractive keratectomy; Keratomileusis, laser in situ; Microscopy, confocal; Humans; Case reports

RESUMO | A ocorrência de ectasia corneana após ceratectomia fotorrefrativa é uma complicação rara, porém grave, em cirurgia refrativa. Os possíveis fatores de risco não são bem avaliados, mas a opinião atual é que a falha na detecção de ceratocone pré-operatório possa ser o principal motivo. Neste relato, descrevemos um caso de ectasia corneana após ceratectomia fotorrefrativa em paciente apresentando padrão tomográfico suspeito no pré-operatório, mas sem alterações degenerativas associadas a ceratocone patológico, conforme revelado por microscopia confocal in vivo da córnea. Além disso, revisamos, na literatura, relatos de casos elegíveis de ectasia pós-ceratectomia fotorrefrativa para encontrar características semelhantes.

Descritores: Córnea/patologia; Dilatação patológica; Ceratoconus; Ceratectomia fotorrefrativa; Ceratomileuse assistida por excimer laser in situ; Microscopia confocal; Humanos; Relato de casos

INTRODUCTION

Corneal ectasia is a rare but serious complication of refractive surgery. It occurs more frequently following laser-assisted in situ keratomileusis (LASIK)⁽¹⁾, and some studies have suggested performing photorefractive keratectomy (PRK) instead of LASIK to prevent ectasia in predisposed corneas. However, some cases of post-PRK ectasia were reported⁽²⁻⁸⁾. Although risk factors are unknown, it is widely thought that keratoconus undiagnosed preoperatively is the primary cause.

Herein, we describe the clinical and microstructural features of a patient with a borderline suspicious tomography pattern and normal diagnostic indices who underwent bilateral PRK and developed ectasia in both corneas 7 years later. Additionally, all case reports of post-PRK ectasia with documented preoperative topography were evaluated for similar characteristics.

CASE REPORT

A 25-year-old man who had no keratoconus signs on slit-lamp biomicroscopy and retinoscopy and had a negative familial history of keratoconus underwent uneventful PRK with Concerto 500Hz Excimer Laser (Wavelight AG, Erlangen, Germany) in both eyes in June 2011. The preoperative cycloplegic refractions were -4.5 -0.75 × 85 and -6.75 -0.5 × 120 in the right and left eyes, respectively, and the corrected distance

Submitted for publication: September 27, 2021
Accepted for publication: November 1, 2022

Funding: This study received no specific financial support.

Disclosure of potential conflicts of interest: None of the authors have any potential conflicts of interest to disclose.

Corresponding author: Hassan Hashemi, MD.
Email: research@norc.ac.ir

Approved by the following research ethics committee: Tehran University of Medical Sciences (E.C. Ref No.: IR.TUMS.VCR.REC.1396.4621).

 This content is licensed under a Creative Commons Attribution 4.0 International License.

visual acuity (CDVA) was 20/20 in both eyes. In the measurement with Pentacam HR (OCULUS, Inc., Wetzlar, Germany), the elevation numbers of the anterior surface of both eyes and posterior surface of the left eye were within normal range, while in the posterior surface of the right eye, one point had +21 μm elevation. The sagittal map of the anterior corneal surface showed a slight inferior steepening in the right eye and slight with-the-rule astigmatism in the left eye. The simulated keratometry values of the front surface of the cornea were 42.2/42.3 and 42.1/42.6 diopter in the right and left eyes, respectively. In the right eye, the central corneal thickness (CCT) was 517 μm (thinnest point [TP] = 509 μm), and the TP displacement relative to the center was -0.56 mm. In the left eye, the CCT was 526 μm (TP=522 μm), and TP displacement relative to the center was -0.7 mm. Keratoconus and corneal thickness profile indices were all normal in both eyes (Figure 1).

The patient underwent surgery with an optical zone of 6 mm and maximum stromal ablation depths of 68.86 and 86.37 μm in the right and left eyes, respectively. Six months after the operation, the manifest refraction was Plano -0.5 \times 75 and -0.25 diopter sphere in the right and left eyes, respectively, which remained stable for 5 years. In May 2017, the patient presented with blurry vision and manifest refraction of -1.00 -0.75 \times 95 and -0.5 -0.25 \times 65 in the right and left eyes, respectively. The patient was diagnosed with myopia regression and provided the required glasses to correct vision. The patient returned for refractive surgery 14 months later. The result of cycloplegic refraction at this time was -1.00 -1.00 \times 90 in the right eye and -1.00 -0.25 \times 120 in the left eye, and CDVA was 20/20 in both eyes. Pentacam sagittal map showed an inferior temporal steepening indicating corneal ectasia in both eyes, which was more severe in the right eye (Figure 2).

The patient was sent to our service based on the diagnosis for an *in vivo* confocal microscopy (IVCM) (HRT III-RCM, Heidelberg Engineering GmbH, Dossenheim, Germany) assessment of corneal microstructures. The test was performed in the sequence mode on the central cornea. After selecting two images with the highest quality for each layer of the basal epithelium, anterior keratocyte, posterior keratocyte, and endothelium, the cell density was calculated using the software embedded in HRT III-RCM. A standard central counting frame size of 200 \times 200 μm^2 was considered for basal epithelium and endothelium images and 300 \times 300 μm^2 for anterior and posterior keratocyte images. The number of cells

per mm^2 was measured in each image, and the average of two measurements was calculated.⁽⁹⁻¹⁰⁾ Sub-basal nerve (SBN) fiber density was measured using automated CCMetrics (ACCMetrics) software version 1.0 (University of Manchester, UK).⁽¹¹⁾ The results showed a lack of Bowman's layer, hyperreflectivity of SBN fibers, and reduction of the most anterior keratocytes in both eyes (123 and 174 cells/ mm^2 in right and left eyes, respectively). The density of the basal epithelial cells (BECs) (6055 and 7004 cells/ mm^2 in the right and left eyes, respectively), endothelial cells (3247 and 2951 cells/ mm^2 in the right and left eyes, respectively), and SBN fibers (25 and 37.5 nerves/ mm^2 in the right and left eyes, respectively) were normal. The reduction of the density of the most posterior keratocytes was not noticeable (301 and 228 cells/ mm^2 in right and left eyes, respectively) (Figure 3).

DISCUSSION

A review of the literature identified seven case reports⁽²⁻⁸⁾ with documented preoperative topography results, reporting a total of 11 post-PRK ectasia cases (Table 1). In all reported cases^(2,4-8), except for one⁽³⁾, the same eye or fellow eye was diagnosed with clinical keratoconus, forme fruste keratoconus, or keratoconus suspect preoperatively, or there was a positive familial history of keratoconus, or the corneal thickness was ≤ 520 μm . In the reports by Malecaze et al.⁽²⁾ and Navas et al.,⁽⁶⁾ forme fruste keratoconus was considered equivalent to keratoconus suspect, and in reports by Leccisotti⁽⁵⁾ and Bardocci et al.,⁽⁸⁾ the diagnosis of keratoconus suspect was made regardless of the findings of slit-lamp biomicroscopy and retinoscopy, which may be due to the unavailability of complete preoperative data. In all previous reports with the diagnosis of forme fruste/suspected keratoconus, significant topographic abnormalities, sometimes accompanied by a positive familial history of keratoconus, may suggest early keratoconus. However, these cases are now easily detectable, and surgeons are usually cautious enough in dealing with such patients. The main challenge is in patients who are candidates for refractive surgery without any clinical signs or familial history of keratoconus, but with a borderline suspicious topography/tomography pattern, as in the presented case.

Alterations in corneal microstructures, including decreased BECs, anterior and posterior stromal keratocytes, and SBN fiber density, have been reported in patients with keratoconus⁽⁹⁻¹⁰⁾. Considering the results

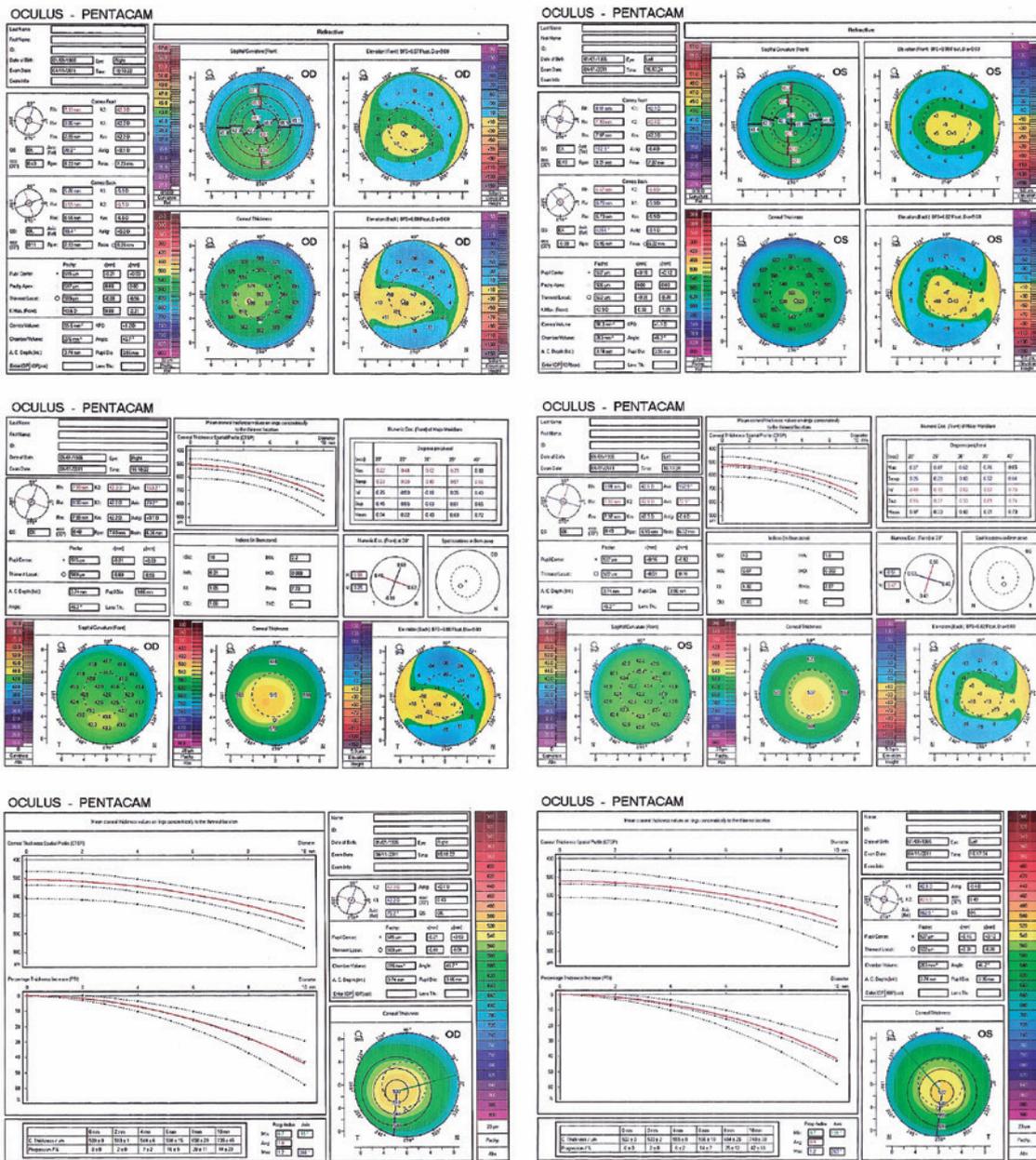


Figure 1. Preoperative corneal tomography images in both eyes.

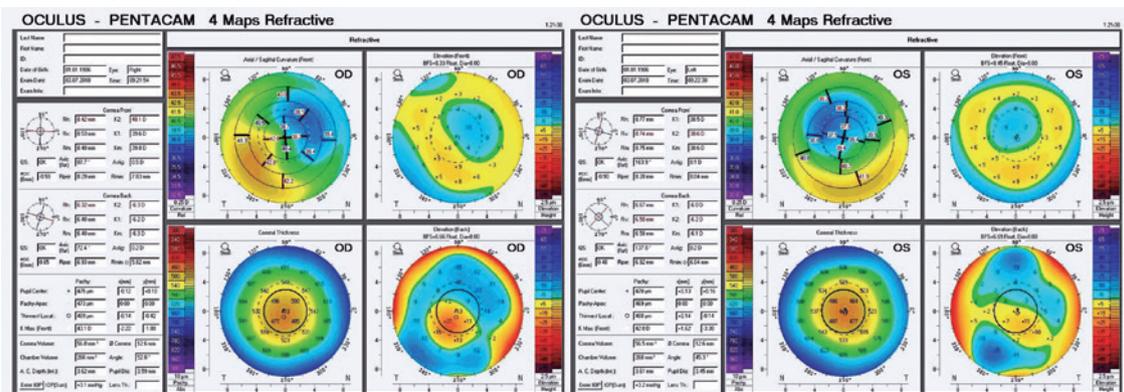


Figure 2. Postoperative corneal tomography images 7 years later, indicating corneal ectasia in both eyes.

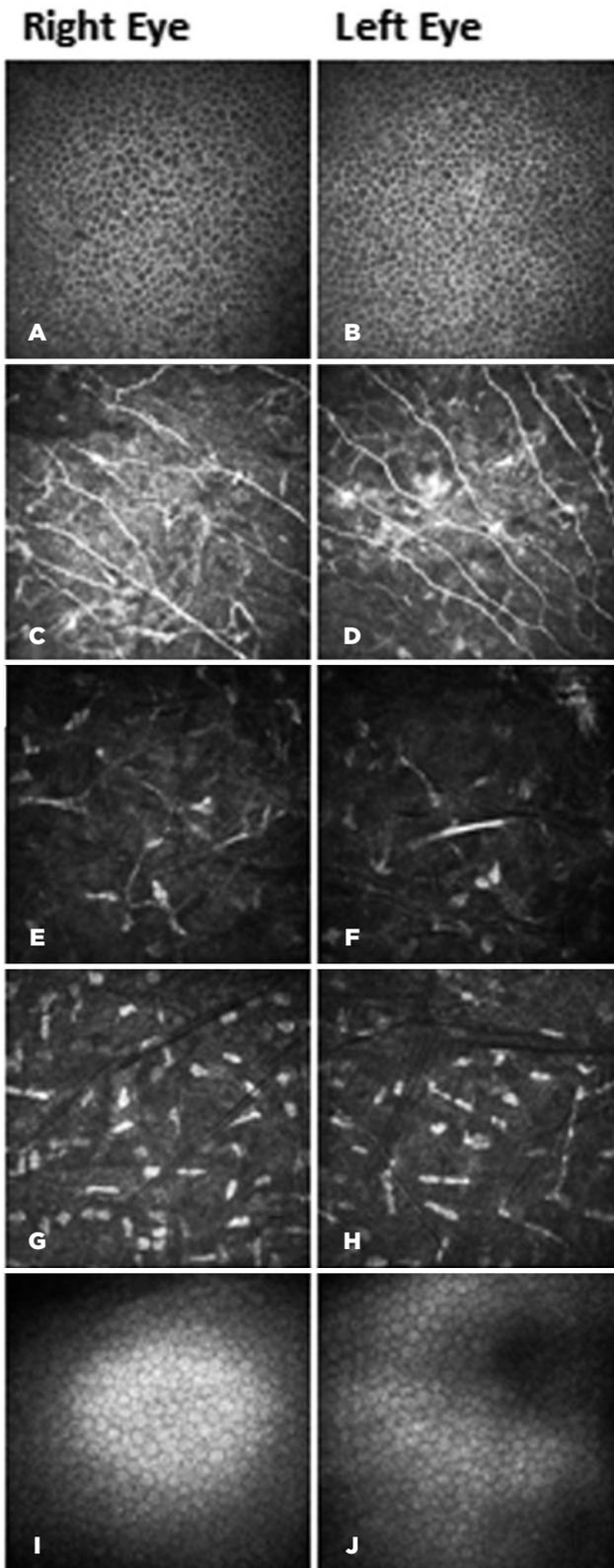


Figure 3. 400 × 400 μm in vivo confocal microscopy images, illustrating the basal epithelium (A, B), hyperreflective sub-basal nerve fibers (C, D), anterior stromal keratocytes (E, F), posterior stromal keratocytes (G, H), and endothelium (J, K) in right and left eyes 7 years after photorefractive keratectomy.

of Bitirgen et al.⁽⁹⁾ in normal virgin corneas, BECs, endothelial cells, and SBN fiber density in the present case appeared to be normal. The reduction of posterior keratocytes was not remarkable. However, a considerable reduction in the density of keratocytes in the most anterior stromal layer was found. These results corroborate previously published findings⁽¹²⁻¹⁴⁾ on normals patient post-PRK. Long-term studies conducted 5, 10, and 20 years after PRK have shown no difference in the density of BEC, SBNs, posterior keratocytes, and the density and morphology of endothelial cells between normal patients post-PRK and controls^(12,14). However, the anterior keratocyte density was 47% lower than the preoperative levels at 5 years follow-up⁽¹³⁾. By contrast, the IVCM results in our case are distinct from those associated with pathological keratoconus, which are related to quantitative and qualitative changes in BECs and SBN fibers⁽⁹⁻¹⁰⁾. In our previous report on patients with keratoconus and post-LASIK ectasia, contrary to the differences of the keratoconus group with their normal virgin controls, the post-LASIK ectasia group had no difference with their normal post-LASIK controls in terms of BECs, anterior and posterior stromal keratocytes, endothelium, and SBN fiber density⁽¹⁰⁾. However, compared with normal virgin controls, both post-LASIK controls and post-LASIK ectasia groups showed a similar reduction in the levels of the anterior and posterior keratocyte density⁽¹⁰⁾. In the mentioned study⁽¹⁰⁾, based on the findings, we concluded that, contrary to the degenerative nature of keratoconus, post-LASIK ectasia can have a mechanical nature. However, LASIK differs from PRK in terms of flap creation, depth of stromal ablation, and severity of damage to corneal innervation. This may explain why the current case had a lesser drop in posterior keratocyte density than the post-LASIK ectasia group in our previous report.

To the best of our knowledge, this is the first IVCM report of a post-PRK ectasia, which showed that post-PRK ectasia could also develop in corneas with a borderline topographic pattern without keratoconus-related cellular changes. Although some studies have shown that PRK in patients with bilateral asymmetric topography or even in eyes with suspected keratoconus may be performed^(15,16), some mechanical stimuli can still initiate the ectatic process in these corneas⁽¹⁷⁾. The delayed corneal protrusion in our case may be explained by the effect of normal intraocular pressure (IOP) on a biomechanically weakened cornea⁽¹⁸⁾. Based on a recently introduced

theory, ectasia is the thinning and protrusion of a localized biomechanically weak corneal area under IOP load over time, which gradually develops to surrounding areas⁽¹⁸⁾. An association between keratoconus and conditions such as floppy eyelid, obstructive sleep

apnea, and ocular allergy has been reported in some studies⁽¹⁹⁻²¹⁾ but our patient did not have any of these conditions. However, eye rubbing and sleeping with eyes squeezed against the pillow should be recognized as two probable causes of ectasia over time.^(17, 20-23)

Table 1. Preoperative data of previously reported post-photorefractive keratectomy ectasia cases

Authors	Patient's sex, age, affected eye, CDVA, CCT, and ectasia detection time	Refraction (Diopter)	Keratometry (Diopter)	Topography	Other findings
Malecaze et al ⁽²⁾ (2005)	Male, 22 y, OU, OU: 20/20, OU: 495 μ m, 4 y later	OD: -1.50 -1.00 \times 105 OS: -1.50 -1.00 \times 65	OD: 43.75/43.32 OS: 43.88/43.26	OD: moderate temporal steepening, SRAX = 37, KISA% = 12.3 OS: inferior steepening, J shape, SRAX = 60, I-S value = 0.9, KISA% = 20 Final diagnosis: FFKC (OS)	Normal slit-lamp biomicroscopy findings, negative familial history
Chiou et al ⁽³⁾ (2006)	Male, 40 y, OU, OU: 20/25, OD: 477 μ m / OS: 481 μ m, 18 months later	OD: -9.25 -1.25 \times 65 OS: -8.25 -2.00 \times 160	-	Normal	Post-PRK severe corneal haze and long-term steroid use, severe corneal tissue ablation
Randleman et al ⁽⁴⁾ (2006)	Case 1 Male, 37 y, OU, OD: 20/20 / OS: 20/30, OD: 472 μ m / OS: 441 μ m, 2 weeks later	OD: -1.50 -2.50 \times 70 OS: -4.00 -3.00 \times 90	OD: 45.50/46.50 OS: 48.50/50.20	KC in both eyes	-
	Case 2 Male, 40 y, OU, OD: 20/20 ² / OS: 20/20 ¹ , OD: 509 μ m / OS: 508 μ m, 10 months later	OD: -5.75 -3.75 \times 33 OS: -5.25 -4.00 \times 167	OD: 46.68/43.21 OS: 46.80/43.38	Symmetric bowtie, high oblique astigmatism	Normal slit-lamp biomicroscopy findings, positive familial history, postoperative IOP rising, and eye rubbing
Leccisotti ⁽⁵⁾ (2007)	Case 1 Female, 38 y, OU, -, OD: 520 μ m / OS: 510 μ m, 3 y later	OD: -7.00 -3.00 \times 180 OS: -6.00 -4.00 \times 180	OD: 44.30 OS: 45.50	High astigmatism and inferior steepening (1.3 and 1.6 D) in both eyes Final diagnosis: KC in both eyes	-
	Case 2 Male, 31 y, OD, 20/20, 487 μ m, 1 y later	OD: -4.50 -1.75 \times 175	OD: 45.75	KC in the fellow eye (OS)	-
	Case 3 Male, 31 y, OS, 20/20, OD: 506 μ m / OS: 492 μ m, 5 months later	OS: -3.75 -0.50 \times 150	OS: 45.70	Evident inferior steepening, asymmetric bowtie with SRAX in both eyes, abnormal ABR index in OS. Videokeratography ruled out KC in both eyes. Final diagnosis: KC suspect (OS)	No information about familial history of KC, slit-lamp biomicroscopy findings, or retinoscopy
	Case 4 Female, 38 y, OS, 20/20, 509 μ m, 5 months later	OS: -1.50 -1.25 \times 130	OS: 48.30	Evident inferior steepening especially in OS, abnormal vertical asymmetry index, and Kmax = 48.30 in OS. Videokeratography ruled out KC in OD and defined possible in OS. Final diagnosis: KC suspect (OS)	No information about familial history of KC, slit-lamp biomicroscopy findings, or retinoscopy
Navas et al ⁽⁶⁾ (2007)	Male, 35 y, OU, OU: 20/20, OD: 497 μ m / OS: 511 μ m, 2 weeks later	OD: -3.00 -1.50 \times 20 OS: -3.00 -2.00 \times 160	OD: 43.25/45.00 OS: 43.25/45.50	Asymmetric astigmatism and FFKC in both eyes which was more pronounced in OD	Normal slit-lamp biomicroscopy findings, positive familial history, eye rubbing
Reznik et al ⁽⁷⁾ (2008)	Male, 25 y, OD, OU: 20/25, OD: 500 μ m / OS: 460 μ m, 5 y later	OD: -5.75 -1.75 \times 95 OS: -7.50 -1.25 \times 80	OD: 42.50/42.25 OS: 43.25/44.00	KC (OD)	-
Bardocci et al ⁽⁸⁾ (2012)	Female, 31 y, OU, -, OD: 512 μ m / OS: 520 μ m, 6 y later	OD: -8.00 -2.00 \times 30 OS: -4.50 -1.50 \times 150	-	AB/SRAX in OD, D pattern in OS Final diagnosis: KC suspect (OU)	No information about familial history of KC, personal history of allergy or eye rubbing, slit-lamp biomicroscopy findings, or retinoscopy

ABR= aberration coefficient; CCT= central corneal thickness; CDVA= corrected distance visual acuity; FFKC= forme fruste keratoconus; I-S value= inferior/superior value; KC= keratoconus; KISA%= keratoconus percentage index; Kmax= maximum keratometry; OD= oculus dexter (right eye); OS= oculus sinister (left eye); OU= oculus uterque (both eyes); PRK= photorefractive keratectomy; SRAX= skewed radial axis; Y= years.

Based on the results of the present case and literature review, an abnormal preoperative topography is an important risk factor for the development of corneal ectasia following PRK. Refractive surgeons should avoid refractive surgery, including surface ablation procedures such as PRK in such cases, particularly if there is any possibility of a mechanical stimulation causing additional corneal biomechanical weakening. In addition, we recommend considering preoperative corneal confocal microscopy in borderline cases indicated for PRK when it is available to rule out any abnormalities in corneal cells or SBN fibers related to keratoconus and establish a comparison if these eyes develop ectasia later. This may extend our knowledge of corneal ectasia formation following PRK.

REFERENCES

- Randleman JB, Woodward M, Lynn MJ, Stulting RD. Risk assessment for ectasia after corneal refractive surgery. *Ophthalmology*. 2008;115(1):37-50. e4. Comment in: *Ophthalmology*. 2008;115(10):1849; autor reply 1849-50. *Ophthalmology*. 2009;116(5):1014-5; autor reply 1015-6.
- Malecaze F, Couillet J, Calvas P, Fournie P, Arne JL, Brodaty C. Corneal ectasia after photorefractive keratectomy for low myopia. *Ophthalmology*. 2006;113(5):742-6.
- Chiou AG, Bovet J, de Courten C. Management of corneal ectasia and cataract following photorefractive keratectomy. *J Cataract Refract Surg*. 2006;32(4):679-80.
- Randleman JB, Caster AI, Banning CS, Stulting RD. Corneal ectasia after photorefractive keratectomy. *J Cataract Refract Surg*. 2006;32(8):1395-8. Comment in: *J Cataract Refract Surg*. 2007;33(6):941-2; autor reply 942.
- Leccisotti A. Corneal ectasia after photorefractive keratectomy. *Graefes Arch Clin Exp Ophthalmol*. 2007;245(6):869-75.
- Navas A, Ariza E, Haber A, Fermón S, Velazquez R, Suarez R. Bilateral keratectasia after photorefractive keratectomy. *J Refract Surg*. 2007;23(9):941-3.
- Reznik J, Salz JJ, Klimava A. Development of unilateral corneal ectasia after PRK with ipsilateral preoperative forme fruste keratoconus. *J Refract Surg*. 2008;24(8):843-7.
- Bardocci A, Abad JC, Tamburrelli C, Lofoco G, Benevento M, Lischetti A. Early onset keratectasia after photorefractive keratectomy in a case showing Vertical D topographic pattern. *Semin Ophthalmol*. 2012;27(3-4):52-5.
- Bitirgen G, Ozkagnici A, Bozkurt B, Malik RA. In vivo corneal confocal microscopic analysis in patients with keratoconus. *Int J Ophthalmol*. 2015;8(3):534-9.
- Alvani A, Hashemi H, Pakravan M, Mahbod M, Seyedian MA, Amanzadeh K, et al. Post-LASIK ectasia versus keratoconus: an in vivo confocal microscopy study. *Cornea*. 2020;39(8):1006-12.
- Dabbah MA, Graham J, Petropoulos IN, Tavakoli M, Malik RA. Automatic analysis of diabetic peripheral neuropathy using multi-scale quantitative morphology of nerve fibres in corneal confocal microscopy imaging. *Med Image Anal*. 2011;15(5):738-47.
- Moilanen JA, Vesaluoma MH, Muller LJ, Tervo TM. Long-term corneal morphology after PRK by in vivo confocal microscopy. *Invest Ophthalmol Vis Sci*. 2003;44(3):1064-9.
- Erie JC, Patel SV, McLaren JW, Hodge DO, Bourne WM. Corneal keratocyte deficits after photorefractive keratectomy and laser in situ keratomileusis. *Am J Ophthalmol*. 2006;141(5):799-809. Comment in: *Am J Ophthalmol*. 2006;141(5):918-20.
- Bilgihan K, Yesilirmak N, Altay Y, Tefon AB, Ozdemir HB, Ozdogan S, et al. Evaluation of long-term corneal morphology after photorefractive keratectomy by in vivo confocal microscopy and specular microscopy; 20-year follow-up. *Eye Contact Lens*. 2019;45(6):360-4.
- Guedj M, Saad A, Audureau E, Gatinel D. Photorefractive keratectomy in patients with suspected keratoconus: five-year follow-up. *J Cataract Refract Surg*. 2013;39(1):66-73.
- Malta JB, Soong HK, Moscovici BK, Campos M. Two-year follow-up of corneal cross-linking and refractive surface ablation in patients with asymmetric corneal topography. *Br J Ophthalmol*. 2019;103(1):137-42.
- Padmanabhan P, Aiswaryah R, Priya VA. Post-LASIK keratectasia triggered by eye rubbing and treated with topography-guided ablation and collagen cross-linking-A case report. *Cornea*. 2012;31(5):575-80.
- Roberts CJ, Dupps Jr WJ. Biomechanics of corneal ectasia and biomechanical treatments. *J Cataract Refract Surg*. 2014;40(6):991-8.
- Karaca U, Akincioğlu D, Ayyıldız O, Dogan D, Ozge G, Usta G, et al. Comparison of obstructive sleep apnea syndrome and keratoconus patients on elevation maps. *Int J Ophthalmol*. 2021;42(3):933-8.
- Ambrósio R Jr. Post-LASIK ectasia: twenty years of a conundrum. *Semin Ophthalmol*. 2019;34(2):66-8.
- Santos RT, Moscovici BK, Hirai FE, Benício CM, Nakano EM, Nosé W. Association between keratoconus, ocular allergy, and sleeping behavior. *Arq Bras Oftalmol [Internet]*. 2021[cited 2022 Jan 18];84(1):17-21. Available from: SciELO - Brasil - Association between keratoconus, ocular allergy, and sleeping behavior Association between keratoconus, ocular allergy, and sleeping behavior
- Najmi H, Mobarki Y, Mania K, Altowairqi B, Basehi M, Mahfouz MS, et al. The correlation between keratoconus and eye rubbing: a review. *Int J Ophthalmol*. 2019;12(11):1775-81.
- Sahebjada S, Al-Mahrouqi HH, Moshegov S, Panchatcharam SM, Chan E, Daniell M, et al. Eye rubbing in the aetiology of keratoconus: a systematic review and meta-analysis. *Graefes Arch Clin Exp Ophthalmol*. 2021;259(8):2057-67.